

10/550447

**Dated:** \_\_\_\_\_

Docket No.: 09450/0203405-US0  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent Application of:  
Hiroshi Kubota et al.**

Application No.: Not Yet Assigned

Confirmation No.: N/A

**Filed: Concurrently Herewith**

Art Unit: N/A

For: SELF-BALLASTED FLUORESCENT LAMP  
AND LUMINAIRE

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A summary/abstract translation of the non-English language documents is enclosed.

Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Application No.: Not Yet Assigned

2

Docket No.: 09450/0203405-US0

**10/550447**

Citations BA-BI are not in the English language. In accordance with 1.98(c), Applicants state:

The requirement for concise explanation of relevance of Citations BA-BI is satisfied by the attached translation of the abstract (see MPEP § 609 A(3)). Also enclosed is an English language copy of a Search Report from a foreign patent office, issued in a counterpart application.


In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: September 21, 2005

Respectfully submitted,

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JC05 Rec'd PCT/PTO 21 SEP 2005

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Hiroshi Kubota
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	09450/0203405-USO

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
	BA	JP-2003-18850-A		01-17-2003	Toshiba Lighting & Technology Corp.		✓
	BB	JP-2000-173536-A		06-23-2000	Toshiba Lighting & Technology Corp.		✓
	BC	JP-6-310271-A		11-04-1994	Iwasaki Electric Co., Ltd.		✓
	BD	JP-2002-75012-A		03-15-2002	Matushita Electric Industrial Co., Ltd.		✓
	BE	JP-2000-82303-A		03-21-2000	Toshiba Lighting & Technology Corp.		✓
	BF	JP-4-209464-A		07-30-1992	Toshiba Lighting & Technology Corp.		✓
	BG	JP-2002-298608-A		10-11-2002	Toshiba Lighting & Technology Corp.		✓
	BH	JP-2002-289143-A		10-04-2002	Toshiba Lighting & Technology Corp.		✓
	BI	JP-7-85708-A		03-31-1995	Toshiba Lighting & Technology Corp.		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Patent Abstracts of Japan for JP2003-18850 published January 17, 2003.	
	CB	Patent Abstracts of Japan for JP2000-173536 published June 23, 2000.	
	CC	Patent Abstracts of Japan for JP6-310271 published November 4, 1994.	
	CD	Patent Abstracts of Japan for JP2002-75012 published March 15, 2002.	
	CE	Patent Abstracts of Japan for JP2000-82303 published March 21, 2000.	
	CF	Patent Abstracts of Japan for JP4-209464 published July 30, 1992.	
	CG	Patent Abstracts of Japan for JP2002-298608 published October 11, 2002.	
	CH	Patent Abstracts of Japan for JP2002-289143 published October 4, 2002.	
	CI	Patent Abstracts of Japan for JP7-85708 published March 31, 1995.	
	CJ	International Search Report for PCT/JP2004/003196 mailed June 29, 2004.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

W:094 5010203		Date Considered	
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